## SECURITIES AND EXCHANGE COMMISSION

# SEC FORM 17-C CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2 (c) THEREUNDER

1.	October 11, 2023			
	Date of Report (Date of earliest eve	nt rep	ported)	***************************************
2.	SEC Identification Number AS094-00	2365	3. BIR Tax Identification No.	003-868-048
4.	SPC POWER CORPORATION			
Exact name of issuer as specified in its charter			***************************************	
5.	Philippines	6.	(SEC Use Only	
	Province, country or other jurisdiction of	f	Industry Classification	Code:
	Incorporation			
7.	7th Floor, BDO Towers Paseo, 8741 Paseo	0	1209 Philippines	
	de Roxas, Makati City			
	Address of principal office		Postal Code	***************************************
8.	(63 2) 8810 4474 to 77, 8810 4450, 8810	4465		
Issuer's telephone number, including area code			***************************************	
9.	N.A.			
J.	Former name of former address, if ch	nange	d since last report	
		.ungo	a cirror lact roport	
10.	Securities registered pursuant to Sections	8 and	d 12 of the SRC or Section 4 an	nd 8 of the RSA
	Title of Each Class	Nun	nber of Shares of Common S	tock
		O	utstanding and Amount of De	ebt
			Outstanding	
	Common Shares: Issued		1,569,491,900 shares	
	Treasury Stock		72,940,097 shares	
	Outstanding		1,496,551,803 shares	
11.	Indicate the item numbers reported h	erein:	Item No. 9	

Item 1. Changes in Control of Issuer - N.A.

- Item 2. Acquisition or Disposition of Assets N.A.
- Item 3. Changes in Issuer's Certifying Accountant N.A.
- Item 4. Resignation, Removal or Election of Registrant's Directors or Officers N.A.
- \tem 5. Legal Proceedings N.A.
- Item 6. Changes in Securities N.A.
- Item 7. Defaults Upon Senior Securities N.A.
- Item 8. Change in Fiscal Year N.A.
- Item 9. Other Events

Please see attached List of Top 100 SPC Power Corporation Stockholders as of September 30, 2023 prepared by Stock Transfer Service, Inc., our transfer bank.

Item 10. Financial Statements and Exhibits - N.A.

#### **SIGNATURE**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the Undersigned hereunto duly authorized.

**SPC Power Corporation** 

Issuer

Date : October 11, 2023

Mishelle Anne R. Rubio-Aguinaldo
Assistant Corporate Secretary

Signature and Title

# REPUBLIC OF THE PHILIPPINES) CITY OF MAKATI ) S.S.

#### SECRETARY'S CERTIFICATE

- I, Mishelle Anne Rubio-Aguinaldo, Filipino, of legal age, with principal office at the 7<sup>th</sup> Floor, BDO Towers Paseo, 8741 Paseo de Roxas, Makati City, after having been sworn according to law, hereby depose and state:
  - 1. I am the duly elected and qualified Assistant Corporate Secretary of SPC Power Corporation (the "Corporation"), a corporation duly organized and existing under the laws of the Philippines with principal address at the 7<sup>th</sup> Floor, BDO Towers Paseo, 8741 Paseo de Roxas, Makati City and listed on The Philippine StockExchange, Inc. since April 2001;
  - 2. As Assistant Corporate Secretary, I have custody and access to the corporate records of the Corporation, including, but not limited to, the books and records of the transfer agent;
  - 3. Based on the records of the Corporation as of September 30, 2023 (the "Covered Period"), the Corporation's capital structure is as follows:

Authorized Capital Stock	₱2,000,000,000
Number, Classes of Shares, Par Value per Share	2,000,000,000, Common Shares, P1.00 per share
Number of Issued Shares	1,569,491,900
Number of Treasury Shares	72,940,097
Number of Outstanding Shares	1,496,551,803
Number of Listed Shares	1,569,491,900
Number of Certificated Shares	927,002,460

4. Based on the records of PDTC as of the Covered Period, there are 642,489,440 lodged shares, broken down, as follows:

PCD Nominee - Filipino	637,597,771
PCD Nominee – Non-Filipino	4,891,669

- 5. All issued shares are duly and validly issued in accordance with existing laws, rules and regulations and are likewise listed in The Philippine Stock Exchange, Inc. ("PSE").
- 6. All lodged shares are validly issued and listed on the PSE.

The Certification was issued by the undersigned Assistant Corporate Secretary in lieu of the Corporate Secretary since the latter, by reason of his health condition (i.e. diabetic, cancer survivor, and had also lost one of his kidneys) and advanced age, has to observe health and safety protocols. Moreover, since the onset pandemic in 2020, it has been a practice that the Assistant Corporate Secretary issue certifications and other reportorial requirements for reasons of practicality, convenience, and accessibility. Rest assured, the Assistant Corporate Secretary is supervised by the Corporate Secretary and has personal knowledge on all corporate matter that she certifies.

IN WITNESS WHEREOF, I have hereunto set my hand this 11th day of October, 2023.

Mishelle Anne R. Rubio-Aguinaldo Affiant

SUBSCRIBED AND SWORN TO before me this day of October 2023, at Makati City, Philippines by the affiant who exhibited to me her Philippine Passport No.P7454258B, issued on August 24, 2021 at DFA Manila, valid until August 23, 2031.

Doc. No. 7; Page No. 7; Book No. 10; Series of 2023.

ATTY JOEL FERRER FLORES
NOTARY PUBLIC FOR MAKATICITY
UNTIL DECEMBER /1 2023 (2023-2024)
APPOINTMENT 10 M-115
ROL 10 7316

MCLE COMPLIANCE NO 0001383 JAN 03. 2023 Until Apr 2020
PTR NO 956,566 AM.03.2023/MAKATI CITY
IBP NO 261984 AF.03.2023 AGG CITY
1107 D BATAAN ST GUADALUPE NUEVO MAKATI CITY

#### Stock Transfer Service Inc. SPC POWER CORPORATION List of Top 100 Stockholders As of 09/30/2023

	43 01 03/30/2023		
Rank	Name	Holdings	Percentage
1	PCD NOMINEE CORPORATION (FILIPINO)	637,597,771	40.62%
2	KEPCO PHILIPPINES HOLDINGS, INC.	568,098,817	36.20%
3	INTREPID HOLDINGS, INC.	118,047,145	07.52%
4	KV HOLDINGS, INC.	74,749,847	04.76%
5	JAD HOLDINGS, INC.	64,492,545	04.11%
6	ROWELL PLASTIC CORPORATION	38,864,638	02.48%
7	LDI POWER HOLDINGS, INC.	24,931,036	01.59%
8	CANCORP, INC.	21,000,000	01.34%
9	MALI NI	10,000,000	00.64%
10	PCD NOMINEE CORPORATION (NON-FILIPINO)	4,891,669	00.31%
11	CECILE CHUA TIU	1,000,000	00.06%
12	ALBERTO P. FENIX, JR.	855,933	00.05%
13	ALEXANDER LAPUZ TAN	500,000	00.03%
14	HENRY O. CHUSUEY	300,000	00.02%
15	STANLEY KRUG	299,196	00.02%
16	DENNIS LAWRENCE N. VILLAREAL	274,196	00.02%
17	VILLAREAL, VICTOR ANTHONY N.	274,196	00.02%
18	JAMES ROY N. VILLAREAL	274,196	00.02%
19	MYRA P. VILLANUEVA	250,000	00.02%
20	LANDMARK HOLDINGS CORPORATION	200,000	00.01%
21	YOLANDA A. MARTIREZ	179,343	00.01%
22	YU, JUAN G. &/OR JOHN PETER C. YU	148,000	00.01%
23	CORAZON L. GAMEZ	141,305	00.01%
24	REYNANTE C. DEL ROSARIO	137,098	00.01%
25	TAN SWEE KIM	137,098	00.01%
26	MYRNA P. VILLANUEVA	90,000	00.01%
27	ARACELI ZIMMERAN LORAYES	58,600	00.00%
28	YU, JUAN G. &/OR JOHN PHILIP	58,000	00.00%
29	ARSENIO M. BALISACAN	56,000	00.00%
30	LORENZO D. INOCANDO	56,000	00.00%
31	SONIA M. MAGNO	55,555	00.00%
32	ESTER GAGABUAN BERONIO	54,000	00.00%
33	FE F. LOQUELLANO	30,000	00,00%
34	MA. LOURDES M. SEVILLA	30,000	00.00%
35	MILAGROS P. VILLANUEVA	27,000	00.00%
36	MAXIMA P. TAN	20,000	00.00%
37	ROBERTO C. GO	20,000	00.00%
38	MADELINE P. TAN	20,000	00.00%
39	GINALYN CATAYTAY VALEROSO	20,000	00.00%
40	ALBERTO A. TAN	20,000	00.00%
41	ALSTON P. TAN	20,000	00.00%
42	ALVIN P. TAN	20,000	00.00%
43	SHARON FAYE A. PIZA	20,000	00.00%
44	VICENTE S. MARTIREZ	20,000	00.00%

45	ELSA G. DOROTHEO	18,519	00.00%
46	ELENITA PETRONILA A. REMOROZA	15,000	00.00%
47	ROMULO F. LABRA	14,000	00.00%
48	SATURNINA BULADACO BARICUATRO	13,000	00.00%
49	TERESITA Y. SABELLA	11,000	00.00%
50	EASTER Y DASMARINAS	11,000	00.00%
51	ELRICO V. MUNOZ	11,000	00.00%
52	GASPAR R. SUDARIO	11,000	00.00%
53	ANITA ABELLANA BONSUBRE	11,000	00.00%
54	CARINO V. ANTEQUISA	11,000	00.00%
55	ARMANDO S. CALAMBA	10,000	00.00%
56	ARISTEO R. CRUZ	10,000	00.00%
57	AMALIA G. ANANA	10,000	00.00%
58	MARAÑA, MIGUEL DC OR BITUIN DC MARAÑA	10,000	00.00%
59	FLORITA L. CANSINO,	10,000	00.00%
60	FARIDA G. DE LEON	10,000	00.00%
61	EDUARDO T. LANARIA	10,000	00.00%
62	RONILO N. ALFEREZ	10,000	00.00%
63	QIANG MING NI	10,000	00.00%
64	MYLENE C. ARNIGO	10,000	00.00%
65	GEORGE S. SIMON	10,000	00.00%
66	JOSEPHINE VILLAREAL DANCEL	10,000	00.00%
67	ZUO PING ZHAO	10,000	00.00%
68	SAU MIN CHAN LARA	10,000	00.00%
69	SOU CHONG BETTY CHAN	10,000	00.00%
70	ELSA GALINDEZ DOROTHEO	9,000	00.00%
71	INOCENCIA TOMARSE ALVAREZ	9,000	00.00%
72	CO KIAN CHAY &/OR RITA A. GAPUZ	9,000	00.00%
73	ROSSANO MIRANDA ENTENDEZ	9,000	
74	GILBERT AGUILAR PAGOBO		00.00%
75	GIL T. VILLARIN	8,000	00.00%
76	REUEL B. SALE	8,000	00.00%
77	JERRY Q. BATAUSA	8,000	00.00%
78	ZHONG YING ZHOU	6,000	00.00%
79	ZIPHORA P. FUCANAN	6,000	00.00%
80	YVONNE THERESE M. MUNESES	5,000	00.00%
81	SWEE KIM TAN	5,000	00.00%
82	SOCRATES B. FUCANAN	5,000	00.00%
83	MA. ASUNCION REMOLADOR SATURINAS	5,000	00.00%
84	LIRIOS CENTENO	5,000	00.00%
85		5,000	00.00%
	RICHARD T. UY	5,000	00.00%
86 87	MA. VICTORIA J. ARIBAL	5,000	00.00%
88	MARK T. MUNESES	5,000	00.00%
89	OLIMPIA D. WONG	5,000	00.00%
90	FLAVIANO B. MU¥ESES EDANCTS VAD STA	5,000	00.00%
	FRANCIS YAP SIA	5,000	00.00%
91 92	FU LIN WANG	5,000	00.00%
34	DANTE D. SAYON	5,000	00.00%

93	CHARITO TRAQUEÑA NUÑEZ	5,000	00.00%
94	DOROTHEO, STEPHANIE ANNE GALINDEZ	5,000	00.00%
95	CLAUDITH F. UY	5,000	00.00%
96	SONIA MILLORA MAGNO	4,994	00.00%
97	ARTURO TAMPOS DALUPAN	4,000	00.00%
98	EVELYN ESPINA TAMPUS	4,000	00.00%
99	FIDELA C. CORNEL	4,000	00.00%
100	NEIL DARWIN TAPOSOK CREDO	4,000	00.00%

Total Top 100 Shareholders :

1,568,793,697 99.95%

Total Issued Shares 1,569,

1,569,491,900

# SPC000000000\_09292023

## **OUTSTANDING BALANCES FOR SPECIFIC COMPANY**

# Sepember 29, 2023 SPC000000000

BPNAME	QUANTITY
UPCC SECURITIES CORP.	388,300
A & A SECURITIES, INC.	123,000
ABACUS SECURITIES CORPORATION	1,010,516
PHILSTOCKS FINANCIAL INC	1,855,666
A. T. DE CASTRO SECURITIES CORP.	29,300
ALPHA SECURITIES CORP.	12,500
BA SECURITIES, INC.	5,000
AP SECURITIES INCORPORATED	323,700
ANSALDO, GODINEZ & CO., INC.	631,200
AB CAPITAL SECURITIES, INC.	914,428
SB EQUITIES,INC.	1,077,300
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	32,000
ASIASEC EQUITIES, INC.	77,200
CHINA BANK SECURITIES CORPORATION	702,800
BELSON SECURITIES, INC.	60,000
JAKA SECURITIES CORP.	10,000
BPI SECURITIES CORPORATION	59,835,340
CAMPOS, LANUZA & COMPANY, INC.	49,100
SINCERE SECURITIES CORPORATION	151,000
CTS GLOBAL EQUITY GROUP, INC.	266,500
IGC SECURITIES INC.	86,400
CUALOPING SECURITIES CORPORATION	20,000
DAVID GO SECURITIES CORP.	1,141,200
DIVERSIFIED SECURITIES, INC.	800
E. CHUA CHIACO SECURITIES, INC.	291,800
EASTERN SECURITIES DEVELOPMENT CORPORATION	383,300
EVERGREEN STOCK BROKERAGE & SEC., INC.	40,000
FIRST ORIENT SECURITIES, INC.	88,000
F. YAP SECURITIES, INC.	97,700
AURORA SECURITIES, INC.	15,000
GLOBALINKS SECURITIES & STOCKS, INC.	19,300
JSG SECURITIES, INC.	2,000
GOLDSTAR SECURITIES, INC.	20,000
GUILD SECURITIES, INC.	173,700
HDI SECURITIES, INC.	150,400
H. E. BENNETT SECURITIES, INC.	50,000
I. B. GIMENEZ SECURITIES, INC.	28,500
INVESTORS SECURITIES, INC,	28,700
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	34,100
J.M. BARCELON & CO., INC.	20,000
VALUE QUEST SECURITIES CORPORATION	110,000

STRATEGIC EQUITIES CORP.	709,400
LARRGO SECURITIES CO., INC.	69,200
LUCKY SECURITIES, INC.	25,000
MANDARIN SECURITIES CORPORATION	1,500
COL Financial Group, Inc.	25,795,789
DA MARKET SECURITIES, INC.	78,000
MERCANTILE SECURITIES CORP.	33,200
MERIDIAN SECURITIES, INC.	289,800
MDR SECURITIES, INC.	2,200
REGIS PARTNERS, INC.	685,500
NEW WORLD SECURITIES CO., INC.	33,000
OPTIMUM SECURITIES CORPORATION	91,200
RCBC SECURITIES, INC.	56,000
PAN ASIA SECURITIES CORP.	200,000
PAPA SECURITIES CORPORATION	314,600
MAYBANK SECURITIES, INC.	516,038,977
PNB SECURITIES, INC.	178,700
PREMIUM SECURITIES, INC.	3,500
SALISBURY SECURITIES CORPORATION	100
QUALITY INVESTMENTS & SECURITIES CORPORATION	243,500
R. COYIUTO SECURITIES, INC.	327,100
REGINA CAPITAL DEVELOPMENT CORPORATION	141,600
R. NUBLA SECURITIES, INC.	1,092,500
AAA SOUTHEAST EQUITIES, INCORPORATED	313,400
R. S. LIM & CO., INC.	3,000
RTG & COMPANY, INC.	93,000
S.J. ROXAS & CO., INC.	61,100
SUMMIT SECURITIES, INC.	376,100
STANDARD SECURITIES CORPORATION	78,800
TANSENGCO & CO., INC.	128,100
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	281,000
TOWER SECURITIES, INC.	3,858,400
APEX PHILIPPINES EQUITIES CORPORATION	62,300
DRAGONFI SECURITIES, INC.	106,500
LANDBANK SECURITIES, INC.	131,400
VENTURE SECURITIES, INC.	2,395,500
FIRST METRO SECURITIES BROKERAGE CORP.	7,585,347
WEALTH SECURITIES, INC.	650,500
WESTLINK GLOBAL EQUITIES, INC.	95,100
YAO & ZIALCITA, INC.	529,100
YU & COMPANY, INC.	10,000
BDO SECURITIES CORPORATION	5,309,577
EAGLE EQUITIES, INC.	16,700
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	100
SOLAR SECURITIES, INC.	110,000
G.D. TAN & COMPANY, INC.	455,100
PHILIPPINE EQUITY PARTNERS, INC.	8,600

Total	642,489,440
BDO-TIG SECURITIES SERVICES	148,200
THE HONGKONG AND SHANGHAI BANKING CORP. LTDCLIENTS' ACCT.	59,400
STANDARD CHARTERED BANK	623,200
CITIBANK N.A.	687,400
VC SECURITIES CORPORATION	1,103,500
SunSecurities, Inc.	10,000
UNICAPITAL SECURITIES INC.	432,900

# Certification

I, Mishelle Anne Rubio-Aguinaldo. Assistant Corporate Secretary of SPC Power Corporation with SEC registration number AS094-002365 with principal office at the 7/F BDO Towers Paseo, 8741 Paseo de Roxas, Makati City, on oath state:

- 1) That on behalf of SPC Power Corporation, I have caused SEC Form 17-C (List of Top 100 Stockholders of SPC Power Corporation) report to be prepared;
- 2) That I read and understood its contents which are true and correct of my own personal knowledge and/or based on true records;
- That we submit the attached report on the List of Top 100 Stockholders in 3) compliance with SEC reportorial requirements;
- 4) That SPC Power Corporation will comply with the requirements set forth in SEC Notice dated June 24, 2020 for a complete and official submission of reports and/or documents through electronic mail; and
- That I am fully aware that documents filed online which requires pre-evaluation 5) and/or processing fee shall be considered complete and officially received only upon payment of the filing fee.

The Certification was issued by the undersigned Assistant Corporate Secretary in lieu of the Corporate Secretary since the latter, by reason of his health condition (i.e. diabetic, cancer survivor, and had also lost one of his kidneys) and advanced age, has to observe health and safety protocols. Moreover, since the onset pandemic in 2020, it has been a practice that the Assistant Corporate Secretary issue certifications and other reportorial requirements for reasons of practicality, convenience, and accessibility. Rest assured, the Assistant Corporate Secretary is supervised by the Corporate Secretary and has personal knowledge on all corporate matter that she certifies.

IN WITNESS WHEREOF, I have hereunto set my hand this 11th day of October, 2023.

Mishelle Anne R. Rubio-Aguinaldo

**Affiant** 

1 1 OCT 2023 MAKATI CITY SUBSCRIBED AND SWORN TO before me this , at Makati City, Philippines by the affiant who exhibited to me her Philippine Passport No. P7454258B, issued on August 24, 2021 at DFA Manila, valid until August 23, 2031.

Doc. No. Page No. Book No. Series of 2023.

ATTY JOEL FERRER FLORES NOTARY PUBLIC FOR MAKATI CITY

INTIL DECEMBER / 1 2023 (2023-2024)

APPOINTMENT 40 M-115

ROLL 40 7376

MCLE COMPLIANCE NO 008/1393, JAN. 03, 2023 Unth Apr 2020

PTR NO 9560566 .AN.03.2023/MAKATI CITY IBP NO 261994 AN.03.2023 FASIG CITY 110" D BATAAN ST GUADALUPE ILEVO MAKATI CITY